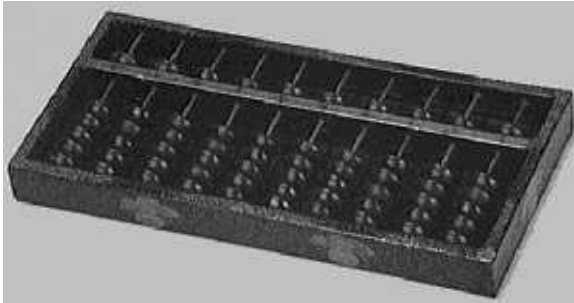


Deduction Modulo

Olivier HERMANT

Tuesday, December 12, 2006

Deduction and Computation



Deduction system: Gentzen's sequent calculus

$\frac{}{\Gamma, P \vdash P} \text{axiom}$ $\frac{\Gamma, P, P \vdash Q}{\Gamma, P \vdash Q} \text{contr-l}$ $\frac{\Gamma, P \vdash R \quad \Gamma, Q \vdash R}{\Gamma, P \vee Q \vdash R} \vee\text{-g}$ $\frac{\Gamma \vdash P \quad \Gamma, Q \vdash R}{\Gamma, P \Rightarrow Q \vdash R} \Rightarrow\text{-g}$ $\frac{\Gamma, \{c/x\}P \vdash Q}{\Gamma, \exists x P \vdash Q} \exists\text{-g, } c \text{ fresh}$	$\frac{\Gamma, P \vdash Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \text{cut}$ $\frac{}{\Gamma, \perp \vdash Q} \perp\text{-g}$ $\frac{\Gamma \vdash P}{\Gamma \vdash P \vee Q} \vee\text{-d}$ $\frac{\Gamma \vdash Q}{\Gamma \vdash P \vee Q} \vee\text{-d}$ $\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} \Rightarrow\text{-d}$ $\frac{\Gamma \vdash \{t/x\}P}{\Gamma \vdash \exists x P} \exists\text{-d}$
---	--

The cut rule: a detour

$$\frac{\Gamma, P \vdash Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \text{cut}$$

- ▶ we prove $\Gamma \vdash P$
- ▶ we assume P and prove $\Gamma, P \vdash Q$
- ▶ it is a proof of $\Gamma \vdash Q$

The cut rule: a detour

$$\frac{\Gamma, P \vdash Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \text{cut}$$

- ▶ we prove $\Gamma \vdash P$
- ▶ we assume P and prove $\Gamma, P \vdash Q$
- ▶ it is a proof of $\Gamma \vdash Q$
- ▶ lemma application.

Deduction system: sequent calculus

$\frac{}{\Gamma, P \vdash P} \text{axiom}$	$\frac{\Gamma, P \vdash Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \text{cut}$
$\frac{\Gamma, P, P \vdash Q}{\Gamma, P \vdash Q} \text{contr-l}$	$\frac{}{\Gamma, \perp \vdash Q} \perp\text{-g}$
$\frac{\Gamma, P \vdash R \quad \Gamma, Q \vdash R}{\Gamma, P \vee Q \vdash R} \vee\text{-g}$	$\frac{\Gamma \vdash P}{\Gamma \vdash P \vee Q} \vee\text{-d}$
$\frac{\Gamma \vdash P \quad \Gamma, Q \vdash R}{\Gamma, P \Rightarrow Q \vdash R} \Rightarrow\text{-g}$	$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} \Rightarrow\text{-d}$
$\frac{\Gamma, \{c/x\}P \vdash Q}{\Gamma, \exists x P \vdash Q} \exists\text{-g, } c \text{ fresh}$	$\frac{\Gamma \vdash \{t/x\}P}{\Gamma \vdash \exists x P} \exists\text{-d}$

Axioms vs. rewriting

Axioms	Rewriting
$x + S(y) = S(x + y)$ $x + 0 = x$ $x * 0 = 0$ $x * S(y) = x + x * y$ $(x * y = 0) \Leftrightarrow (x = 0 \vee y = 0)$	$x + S(y) \rightarrow S(x + y)$ $x + 0 \rightarrow x$ $x * 0 \rightarrow 0$ $x * S(y) \rightarrow x + x * y$ $(x * y = 0) \rightarrow (x = 0 \vee y = 0)$
$\frac{\vdots}{\mathcal{T} \vdash 2 * 2 = 4}$ $\frac{}{\mathcal{T} \vdash \exists x(2 * x = 4)}$	$\frac{}{\vdash_{\mathcal{R}} 4 = 4}$ $\frac{}{\vdash_{\mathcal{R}} \exists x(2 * x = 4)}$

Deduction Modulo: rewrite rules allowed

- ▶ Shape:

$$l \rightarrow r$$

- ▶ we use them through an equivalence relation \equiv_R

Deduction Modulo: rewrite rules allowed

- ▶ Shape:

$$l \rightarrow r$$

- ▶ Using: If $t = l_\sigma$ then we replace it by r_σ

- ▶ we use them through an equivalence relation \equiv_R

Deduction Modulo: rewrite rules allowed

- ▶ Shape:

$$l \rightarrow r$$

- ▶ Using: If $t = l_\sigma$ then we replace it by r_σ
- ▶ rewrite rules on terms:

$$x + S(y) \rightarrow S(x + y)$$

- ▶ we use them through an equivalence relation \equiv_R

Deduction Modulo: rewrite rules allowed

- ▶ Shape:

$$l \rightarrow r$$

- ▶ Using: If $t = l_\sigma$ then we replace it by r_σ
- ▶ rewrite rules on terms:

$$x + S(y) \rightarrow S(x + y)$$

- ▶ and on **propositions** :

$$x * y = 0 \rightarrow x = 0 \vee y = 0$$

- ▶ we use them through an equivalence relation \equiv_R

Sequent calculus modulo

$$\frac{}{\Gamma, P \vdash Q} \text{axiom } P \equiv_{\mathcal{R}} Q$$

$$\frac{\Gamma, P \vdash R \quad \Gamma \vdash Q}{\Gamma \vdash R} \text{cut } P \equiv_{\mathcal{R}} Q$$

$$\frac{\Gamma, P, Q \vdash R}{\Gamma, P \vdash R} \text{contr-g } P \equiv_{\mathcal{R}} Q$$

$$\frac{}{\Gamma, P \vdash Q} \perp\text{-g } P \equiv_{\mathcal{R}} \perp$$

$$\frac{\Gamma \vdash P \quad \Gamma, Q \vdash R}{\Gamma, S \vdash R} \Rightarrow\text{-g } P \Rightarrow Q \equiv_{\mathcal{R}} S$$

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash S} \Rightarrow\text{-d } P \Rightarrow Q \equiv_{\mathcal{R}} S$$

$$\frac{\Gamma, \{c/x\}P \vdash Q}{\Gamma, R \vdash Q} \exists\text{-g}^* \exists xP \equiv_{\mathcal{R}} R$$

$$\frac{\Gamma \vdash \{t/x\}P}{\Gamma \vdash R} \exists\text{-d } \exists xP \equiv_{\mathcal{R}} R$$

An example of rewriting theory: Peano/Heyting Arithmetic

As an axiomatic theory:

$$\forall(x)\forall(y)(S(x) = S(y) \Rightarrow x = y)$$

$$\forall x \neg(0 = S(x))$$

$$\{0/x\}P \Rightarrow \forall y(\{y/x\}P \Rightarrow \{S(y)/x\}P) \Rightarrow \forall n\{n/x\}P$$

$$\forall y(0 + y = y) \quad \forall x \forall y(S(x) + y = S(x + y))$$

$$\forall y(0 \times y = 0) \quad \forall x \forall y(S(x) \times y = x \times y + y)$$

An example of rewriting theory: Peano/Heyting Arithmetic

As an axiomatic theory:

$$\forall(x)\forall(y)(S(x) = S(y) \Rightarrow x = y)$$

$$\forall x \neg(0 = S(x))$$

$$\{0/x\}P \Rightarrow \forall y(\{y/x\}P \Rightarrow \{S(y)/x\}P) \Rightarrow \forall n\{n/x\}P$$

$$\forall y(0 + y = y) \quad \forall x \forall y(S(x) + y = S(x + y))$$

$$\forall y(0 \times y = 0) \quad \forall x \forall y(S(x) \times y = x \times y + y)$$

Orienting the last four equations is not hard:

$$0 + y \rightarrow y \quad S(x) + y \rightarrow S(x + y)$$

$$0 \times y \rightarrow 0 \quad S(x) \times y \rightarrow x \times y + y$$

Adding symbols

We define:

- ▶ a symbol $Pred$ (for predecessor) and the axioms:

$$Pred(0) = 0 \quad Pred(S(x)) = x$$

$$\forall x \forall y (x = y \Rightarrow Pred(x) = Pred(y))$$

Adding symbols

We define:

- ▶ a symbol $Pred$ (for predecessor) and the axioms:

$$Pred(0) = 0 \quad Pred(S(x)) = x$$

$$\forall x \forall y (x = y \Rightarrow Pred(x) = Pred(y))$$

- ▶ two predicate symbols N and $Null$, and the axioms:

$$N(0)$$

$$\forall x (N(x) \Rightarrow N(S(x)))$$

$$Null(0)$$

$$\forall x (\neg Null(S(x)))$$

$$\{0/x\}P \Rightarrow \forall y (N(y) \Rightarrow \{y/x\}P) \Rightarrow \{S(y)/x\}P \Rightarrow \forall n (N(n) \Rightarrow \{n/x\}P)$$

Adding symbols

We define:

- ▶ a symbol $Pred$ (for predecessor) and the axioms:

$$Pred(0) = 0 \quad Pred(S(x)) = x$$

$$\forall x \forall y (x = y \Rightarrow Pred(x) = Pred(y))$$

- ▶ two predicate symbols N and $Null$, and the axioms:

$$N(0)$$

$$\forall x (N(x) \Rightarrow N(S(x)))$$

$$Null(0)$$

$$\forall x (\neg Null(S(x)))$$

$$\{0/x\}P \Rightarrow \forall y (N(y) \Rightarrow \{y/x\}P \Rightarrow \{S(y)/x\}P) \Rightarrow \forall n (N(n) \Rightarrow \{n/x\}P)$$

it is a conservative extension over PA/HA, up to a formulas traduction:

$$|\forall x P| = \forall x (N(x) \Rightarrow P)$$

Handling equality and induction

We still have to handle the equality symbol and the induction scheme. Introduce:

- ▶ two sorts: ι, κ (*iota* stands for integers)

Handling equality and induction

We still have to handle the equality symbol and the induction scheme. Introduce:

- ▶ two sorts: ι, κ (*iota* stands for integers)
- ▶ a symbol \in of rank $\langle \iota, \kappa \rangle$

Handling equality and induction

We still have to handle the equality symbol and the induction scheme. Introduce:

- ▶ two sorts: ι, κ (*iota* stands for integers)
- ▶ a symbol \in of rank $\langle \iota, \kappa \rangle$
- ▶ for each **proposition** $P[x, y_1, \dots, y_n]$, a function symbol $f_{x, y_1, \dots, y_n, P}$ of rank $\langle \underbrace{\iota, \dots, \iota}_n, \kappa \rangle$
n times

Handling equality and induction

We still have to handle the equality symbol and the induction scheme. Introduce:

- ▶ two sorts: ι, κ (*iota* stands for integers)
- ▶ a symbol \in of rank $\langle \iota, \kappa \rangle$
- ▶ for each **proposition** $P[x, y_1, \dots, y_n]$, a function symbol $f_{x, y_1, \dots, y_n, P}$ of rank $\langle \underbrace{\iota, \dots, \iota}_n, \kappa \rangle$
n times
- ▶ Why all this ?

Arithmetic reformulated

$$\forall y \forall z (y = z \Leftrightarrow \forall p (y \in p \Rightarrow z \in p))$$

$$\forall n (N(n) \Leftrightarrow \forall p (0 \in p \Rightarrow \forall y (N(y) \Rightarrow y \in p \Rightarrow S(y) \in p) \Rightarrow n \in p))$$

$$\forall x \forall y_1 \dots \forall y_n (x \in f_{x,y_1,\dots,y_n,P} \Leftrightarrow P)$$

$$Pred(0) = 0$$

$$Null(0)$$

$$\forall x (Pred(S(x)) = x)$$

$$\forall x (\neg Null(S(x)))$$

$$\forall y (0 + y) = y$$

$$\forall y (0 \times y = 0)$$

$$\forall x \forall y (S(x) + y = S(x + y))$$

$$\forall x \forall y (S(x) \times y = x \times y + y)$$

This formulation is conservative over PA

Arithmetic modulo

$$\begin{aligned} & y = z \rightarrow \forall p (y \in p \Rightarrow z \in p) \\ N(n) & \rightarrow \forall p (0 \in p \Rightarrow \forall y (N(y) \Rightarrow y \in p \Rightarrow S(y) \in p) \Rightarrow n \in p) \\ & x \in f_{x,y_1,\dots,y_n,P}(y_1,\dots,y_n) \rightarrow P \end{aligned}$$

$$Pred(0) \rightarrow 0$$

$$Pred(S(x)) \rightarrow x$$

$$Null(0) \rightarrow \top$$

$$Null(S(x)) \rightarrow \perp$$

$$0 + y \rightarrow y$$

$$S(x) + y \rightarrow S(x + y)$$

$$0 \times y \rightarrow 0$$

$$S(x) \times y \rightarrow x \times y + y$$

This forms a rewrite system \mathcal{R}_{HA}

- ▶ One can express other axiomatic theories in deduction modulo: higher-order logic, Zermelo's Set theory for instance.

- ▶ One can express other axiomatic theories in deduction modulo: higher-order logic, Zermelo's Set theory for instance.
- ▶ the problem of cut elimination in presence of rewrite rules in presence of arbitrary \mathcal{R} is no more trivial:

$$A \rightarrow \neg A \wedge B$$

can prove: $\vdash \neg B$ with a cut on A

- ▶ One can express other axiomatic theories in deduction modulo: higher-order logic, Zermelo's Set theory for instance.
- ▶ the problem of cut elimination in presence of rewrite rules in presence of arbitrary \mathcal{R} is no more trivial:

$$A \rightarrow \neg A \wedge B$$

can prove: $\vdash \neg B$ with a cut on A

- ▶ even in presence of confluence/termination of \mathcal{R} , this can fail:

$$R \in R \rightarrow \forall y (y \simeq R \Rightarrow \neg y \in R)$$

- ▶ One can express other axiomatic theories in deduction modulo: higher-order logic, Zermelo's Set theory for instance.
- ▶ the problem of cut elimination in presence of rewrite rules in presence of arbitrary \mathcal{R} is no more trivial:

$$A \rightarrow \neg A \wedge B$$

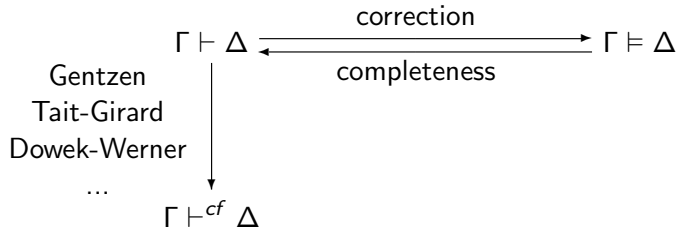
can prove: $\vdash \neg B$ with a cut on A

- ▶ even in presence of confluence/termination of \mathcal{R} , this can fail:

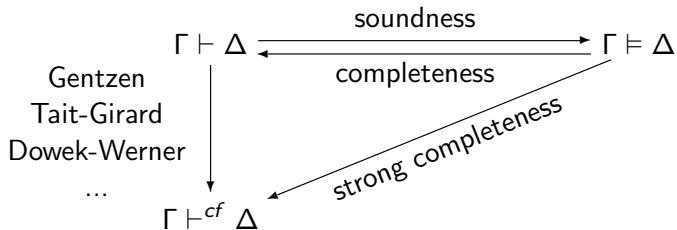
$$R \in R \rightarrow \forall y (y \simeq R \Rightarrow \neg y \in R)$$

- ▶ a cut in deduction modulo corresponds to *ad hoc* axiomatic cuts of axiomatic theories.

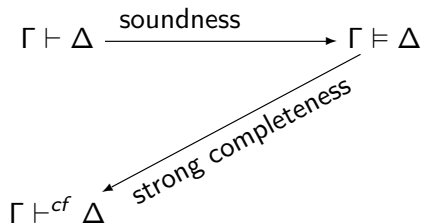
How to eliminate cut



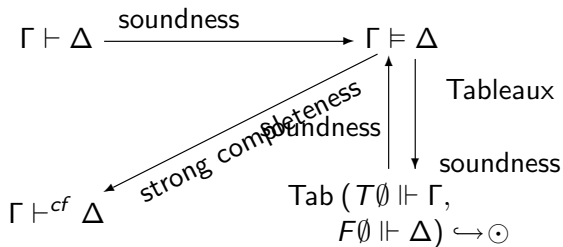
How to eliminate cut



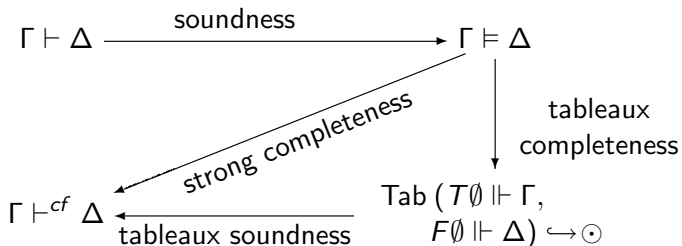
How to eliminate cut



How to eliminate cut



How to eliminate cut



- ▶ need for new definitions. In particular for models.

- ▶ need for new definitions. In particular for models.
- ▶ we construct Hintikka sets/ complete tableaux.

- ▶ need for new definitions. In particular for models.
- ▶ we construct Hintikka sets/ complete tableaux.
- ▶ we have to go further: the obtained Hintikka set has to be transformed into a model of \mathcal{R} (most tedious part).

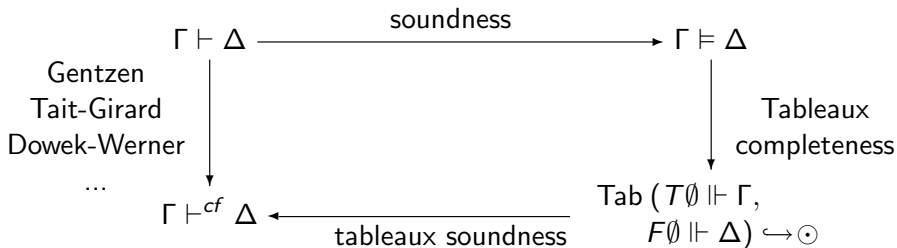
Results with the semantic method

Cut elimination for:

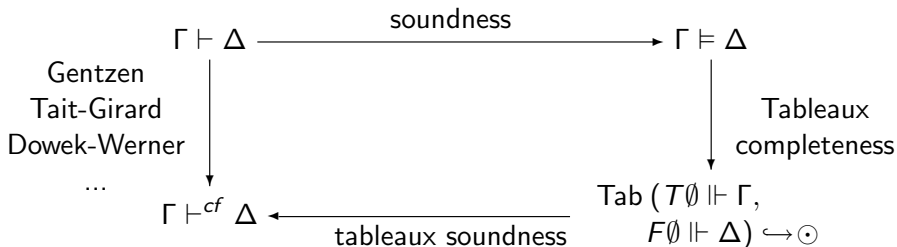
- ▶ a w.f.o. condition on \mathcal{R}
- ▶ a positivity condition on \mathcal{R}
- ▶ a mix of the two previous conditions
- ▶ HOL formulation in Deduction Modulo
- ▶ the rule:

$$R \in R \rightarrow \forall y (y \simeq R \Rightarrow (y \in R \Rightarrow (A \Rightarrow \neg A)))$$

does not have proof normalization, but has cut admissibility.



- ▶ both approach are not equivalent.



- ▶ both approach are not equivalent.
- ▶ this is still a field of investigations.